

GP # 2768

**PATENT**  
Docket No. 35103-018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of Michael )  
Borchew, and Eric Hauk )

Serial No.: 09/540,601 )

Filed: March 31, 2000 )

For: **VIRTUAL TRADING FLOOR**  
**SYSTEM** )

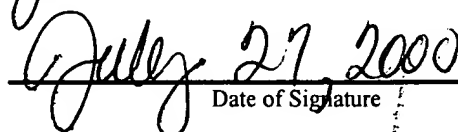
Art Unit: 2768 )

Examiner: Unassigned )

Certificate of Mailing

I, hereby certify that this correspondence (along with any documents referred to as attached or enclosed) is being deposited with the US Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date of my signature. #5

  
Signature

  
Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 CFR §1.97, Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

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Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present invention.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 13-0206.

Respectfully submitted,

Date: July 27, 2000

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